

Board of Education Chair **DECISION**

SLU ID: SLU.ua.2020.1.1.1-4041

11 December 2020

Distribution list

Extended instructions for teaching and examination at first- and second-cycle level in light of the Covid-19 pandemic

Decision

The chair of the Board of Education has decided

<u>that</u> the instructions in annexe 1 to this decision apply to first-cycle and second-cycle courses and programmes, effective from 24 December 2020 to 23 March 2021;

 \underline{to} not turn away from campus any students who do not have the option of studying at home; and

<u>to</u> repeal the decision of the chair of 28 October 2020 on the *extension of instructions for teaching and examination at first- and second-cycle level* for the 2021 spring semester (same SLU ID).

Summary of the case

To manage the Covid-19 pandemic, the government and the Public Health Agency of Sweden (www.folkhalsomyndigheten.se) issue binding guidelines to reduce the risk of infection. The vice-chancellor's policy decision of 8 June 2020 asked the chair of the Board of Education to draft new instructions for teaching and examination taking into account the pandemic.

Justification

On 24 November 2020, the government issued restrictions that further limit the number of people who can attend public gatherings and other events. Based on this, the chair of the Board of Education, on 29 November 2020, approved tightened

Phone: +46 18-67 10 00 (switchboard)

Mobile phone: 070-201 81 62

Johan.Toren@slu.se

instructions for teaching and examination at SLU, to be applied until the new year. It remains to be seen how the holiday period will affect the situation. However, to facilitate planning, the decision on revised instructions for teaching and examination is extended to cover all of course period 3.

Content and likely consequences

All our units involved in education have done a very good job of ensuring that teaching can take place with as little risk of infection as possible The new, stricter guidelines mean we must all contribute to further limiting the spread of the virus. The present decision extends the previously issued guidelines to apply to all of course period 3. This means that everyone needs to plan for teaching and examination online for the first quarter of 2021 as well.

For students, the tightened restrictions mean that most teaching and examination will continue to take place online. However, students must check what applies to their particular course or programme as some key components and/or exams will take place on campus, with the necessary arrangements to reduce the risk of infection. This also applies to first-year students on degree programmes.

Those who have planned examinations on campus should contact the Division of Learning, Media and Digitalisation who offer extended support for the transition to online teaching and exams. See https://internt.slu.se/en/supportservices/education/educational-and-digital-support/ or contact epu@slu.se.

The Legal Affairs Unit has drafted legal recommendations for online teaching, see annexe 2. These recommendations cover important points regarding e.g. the management of personal data and data protection in connection with online teaching and examination.

These instructions apply provided that the guidelines issued by the government and the Public Health Agency do not change and provided that the decision by the SLU Board of 26 March 2020 on *particular authority granted to the vice-chancellor et al because of the corona pandemic* and the vice-chancellor's *university-wide policy decision on teaching and examination* (SLU ID: SLU.ua.2020.1.1.1-2319) of 8 June 2020 in light of the pandemic remain in force.

This case has been decided by the chair of the Board of Education, Deputy Vice-Chancellor Karin Holmgren, after a presentation by Education Officer Johan Torén. The content of this decision has been prepared by the SLU management team for Covid-19. Head of Division Maria Orvehed also contributed to the preparation of the case. Vice-Chancellor Maria Knutson Wede and Sluss Chair Marie Petersson have been informed about the content of this decision.

Karin Holmgren

Johan Torén

Annexes

- 1. Extended instructions for teaching and examination at first- and second-cycle level in light of the Covid-19 pandemic
- 2. Legal recommendations for online teaching

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Extended instructions for teaching and examination at first- and second-cycle level in light of the Covid-19 pandemic

A. The following exemptions from programme and course syllabuses are allowed:

- The examiner may decide on formats for assessing student performance. If the
 examination is significant for the qualitative targets for a professional
 qualification, the programme director of studies concerned must be party to
 the decision.
- The examiner may decide on the teaching format, ensuring that the intended learning outcomes are attainable regardless of the format chosen, but also taking into consideration item B.
- The examiner also decides on any adaptions of compulsory components.
- If an examiner is informed that more than one (1) student on a course, or in the same group of students, has tested positive for Covid-19, the examiner should consider a transition to distance teaching, wholly or partly, for at least two weeks. This also applies if another teacher on the course tests positive. The examiner must consult with the head of department before deciding on online instruction. The current guidelines from the Public Health Agency and the region concerned must be applied to anyone who has tested positive for Covid-19.

B. The following applies to teaching:

- To the extent possible, teaching should take place online. Examiners and
 course coordinators (equivalent) should consult management at their
 department (head of department, departmental director of studies/equivalent)
 and programme director of studies about the possibility of further increasing
 the amount of online instruction.
- Instruction that cannot be delivered online if the learning outcomes are to be ensured, such as lab work, can take place on campus in small groups (approximately 10 people including the teacher), provided that the other guidelines from the Public Health Agency are applied and measures are taken to reduce the risk of infection.
- To maximise the use of pool teaching rooms, bookings can only be made for 2-hour slots.

- Fieldwork and study trips that cannot take place online if the learning outcomes are to be ensured can take place in small groups (approximately 10 people including the teacher), provided that other guidelines from the Public Health Agency are applied and measures are taken to reduce the risk of infection. The requirement to perform a risk assessment and take measures to reduce the risk of infection also applies to trips to and from the field location/destination.
- Students doing degree projects that involve experiments at a department or in the field can do so provided that the Public Health Agency's guidelines are applied and measures are taken to reduce the risk of infection.

C. The following applies to written examinations and the assessment of practical components:

- Examinations should, as far as possible, be run online. Examiners and course coordinators (equivalent) should consult management at their department (head of department, departmental director of studies/equivalent) and programme director of studies about the possibility of doing more summative assessments online.
- Take-home examinations must be organised per the rules for take-home exams in the Education Planning and Administration Handbook, Section 8.4, Other types of examination (tests). Take-home exams can be handled using the Canvas learning platform and the Inspera platform, available at SLU as of 1 December 2020.
- Under the current circumstances, an exam may, if it is deemed necessary, take
 place online using Zoom for camera monitoring. In those cases, please check
 the legal recommendations for online teaching (annexe 2) for the processing
 of personal data.
- Oral examinations can take place online and must then be organised per the rules for oral exams in the Education Planning and Administration Handbook, Section 8.4, Other types of examination (tests). Oral exams may be recorded to make it easier to document them. In such cases, students must be informed in advance, and a justification for the recording must be documented in writing. Recordings should be saved for 2 years. See also the legal recommendations for online teaching (annexe 2).
- If an exam must take place in an examination hall (moderated exam), the number of participants, including invigilators, must be limited to ensure a minimum distance of 2 metres between those present. This also applies when entering and exiting the examination hall. The Public Health Agency's guidelines must be applied and measures taken to reduce the risk of infection.
- The 2-metre requirement also applies to practical exams, including when entering and exiting the room. The Public Health Agency's guidelines must be applied and measures taken to reduce the risk of infection.

• See item E for special arrangements for some students.

D. In addition, the following rules apply to placements and clinical training:

- Placements and clinical-practical training can take place provided that the Public Health Agency's guidelines are applied and measures are taken to reduce the risk of infection.
- Placements should continue to the extent possible. If there is a shortage at other clinics, placements at UDS are allowed, to the extent the UDS can manage.

E. Special arrangements

- Students who belong to a Covid-related at-risk group¹, or who cohabit with someone who belongs to an at-risk group, should, to the extent possible, be offered alternative teaching arrangements for compulsory components, and to take exams in a room adapted for this purpose. The examiner can request that the student provides a certificate certifying that they belong to an at-risk group.
- Whether international students (who do not have a Swedish personal identity number) can apply for a residence permit or not depends on the teaching format. If studies will primarily take place on campus, they can apply for a residence permit for studies even if some of the teaching will take place online.
- International students who due to travel restrictions or other unforeseen Covid-related difficulties cannot start their studies on site must, if possible, be offered the option of taking part e.g. through audio and video recordings.

F. Information and consultation

- Administrative officers who prepare cases and decisions that concern the study environment must consult with student representatives from the students' union(s) concerned. The guidelines in the Education Planning and Administration Handbook, Section 3.14 Student influence, also apply to issues handled by the university administration. When planning new course instances, SLU should utilise the students' experiences from the initial Covidrelated adaptions.
- Departments responsible for courses must, where applicable, document and promptly inform the students concerned of decisions on temporary adjustments to teaching and/or examination.

¹ At-risk group as defined by the Public Health Agency of Sweden.

Vice-Chancellor's Office Legal Counsel Erik Stavegren **MEMORANDUM**

SLU ID: SLU.ua.2020.1.1.1-2319

25 November 2020

Legal recommendations for online teaching

The pandemic and subsequent transition to online teaching have given rise to many questions related to the processing of personal data. The Legal Affairs Unit is aware of these questions, and of the conflicting information that can be found on the web. This is why we have compiled these recommendations for different situations that may arise in connection with online teaching.

Starting points

A good starting point is that online teaching should be delivered using tools provided by the university, such as Zoom. Do not use free, online services.

Also, remember that not all services offer the same security. It is important to use the right kind of service for the type of information you will be processing. For example, Zoom must not be used for processing sensitive personal data¹ or for confidential data as defined by the Public Access to Information and Secrecy Act.

Many of the questions concern recording video talks in various situations. The rule of thumb here is that if you did not record something prior to the pandemic, you should not be recording it now. The main reason for this is that all video files, chat logs, etc. that are saved become official documents². Official documents are covered by the principle of public access to official documents, which means anyone, in Sweden or abroad, can request a copy of them. Recorded video from online classes must be saved for at least 2 years. For this reason, we must not record students during teaching unless it is absolutely necessary, in particular if it means the student would be recorded in their own home.

Students may find it unpleasant that a video of them that would not have existed a few weeks ago can now be disclosed to anyone requesting it. Saving video and chat logs can also mean that students who are a bit shyer hesitate in taking an active part in teaching for fear that their 'stupid questions' will be preserved forever in a recording. For these reasons, recording should only take place when necessary.

Phone: +46 18-67 10 00 (switchboard)

Mobile phone: XXX-XXX

firstname.surname@slu.se

¹You can find a definition of personal data and sensitive personal data at https://internt.slu.se/en/support-services/administrative-support/legal-affairs-data-protection-info-management/data-protection/terms-and-concepts/.

² For more information about the principle of public access to official documents, see https://internt.slu.se/en/support-services/administrative-support/legal-affairs-data-protection-info-management/legal-affairs/,

Before processing the students' personal data through recording or streaming, you must assess whether there are other solutions that do not require the processing of personal data. If that is the case, the processing is not necessary, and you should opt for the solution that does not require that you process personal data.

Informing the students

Regardless of how we process personal data when teaching online, the students must be informed of what will happen to their personal data. The Legal Affairs Unit has, together with the Division of Learning, Media and Digitalisation, drafted such information for students. It is available on the student web.

Before you record a class delivered online, you must inform the students of the processing that will take place. You need to provide the following information:

- Why you are recording the session
- If it will be possible to see or hear students in the recording
- How long you will be saving the recording
 - o If the recording is of a task that will be assessed, the recording must be saved for 2 years³.
 - Recordings of lectures should be saved for 2 years after the course instance.

Personal data must only be shared with those who need them

Personal data must not be distributed to more people or organisations than necessary. There is a risk of this happening for instance during ID checks, or in video group talks. Asking for IDs in a way that means students show them so they are visible to everyone else is not allowed. This means you cannot ask a group of students to show their ID cards or other information that the rest of the group does not need to have access to. If you need a group of students to prove their identity, you have to find another way of doing this.

If you need to do an ID check during a class, do that before you start the recording.

Disposal

When it is time to dispose of the recording (e.g. 2 years after an exam), you must normally delete it as keeping it longer than the prescribed period is not allowed.

Integrity at lectures (non-assessed components)

At lectures, it is important to provide options for asking questions so students can ask without it being obvious from the recording who asked a particular question.

³ If a student requests a reassessment of a grade, the recording should be saved for 2 years after the reassessment has been concluded.

One way of doing this is telling students that they can use the Zoom chat to ask you (rather than the whole group) a question, and you then repeat the question to the group without saying who posed it to begin with.

Students must also be allowed to take part with their camera and microphone off.

Teachers' integrity

A student does not have the right to record a class without your consent. Recording without consent is illegal.

Online teaching

Lecture

Streaming allowed. Recording yourself teaching is allowed. Do not record students.

Seminar with only non-assessed components

Streaming allowed. Do not record.

Online supervision

Streaming allowed. Do not record.

Online ID check, regardless of context

Streaming between student and teacher allowed. ID checks where other students can see the person identifying themselves are not allowed. Do not record ID checks.

Practical components

Streaming allowed. Do not record.

Practical components with participants who are not teachers or students

Streaming allowed. Do not record.

Oral examinations

Oral exams may be recorded to facilitate documentation. In such cases, students must be informed in advance, and a justification for the recording must be documented in writing. Recordings must be saved for 2 years.

Examination whereby a student submits a recording of a practical component

Allowed. Recordings must be saved for 2 years.

Take-home examination (i.e. same format as before the transition to online teaching)

Do not record. Live streaming should not be necessary.

Online examination

Onlinle exams should, in principle, not be recorded. Live streaming is allowed, e.g. for invigilators. Recording written exams can only be done if it is required to ensure fairness and a justification must be documented. Students must be informed in advance. Recordings must be saved for 2 years.